

[UNCLASSIFIED]

From: s 9(2)(a)

Sent: Friday, 9 April 2021 11:00 am

To: Jo Hendy s 9(2)(a)@climatecommission.govt.nz>

Subject: Draft Board Paper: Code of Conduct for Crown Entity Board Members

[UNCLASSIFIED]

Hi Jo,

Please find attached a draft paper for next week's Board meeting outlining the recently released Code of Conduct for Crown Entity Board Members. I've also attached the Code itself as well as the Standards of Integrity and Conduct, which is referred to in the paper. Please let me know if this is okay to go to Rod or, if not, what changes you'd like made.

Thanks

s 9(2)(a)



s 9(2)(a)

[W climatecommission.govt.nz](http://climatecommission.govt.nz)

[UNCLASSIFIED]

Board Paper

Board Meeting Date:	14 April 2021	Agenda Item:	
Author	s 9(2)(a)	Sector:	Governance and Corporate Services
Peer Reviewer:		Tier 2 sign off:	s 9(2)(a) s 9(2)(a)
Title of Paper:	Code of Conduct for Crown Entity Board Members		

Purpose

1. To provide the Board with the Code of Conduct for Crown Entity Board Members which was recently issued by the Public Service Commissioner.

Action sought	Date action required by
<p>It is recommended that you:</p> <p>Note the Code of Conduct for Crown Entity Board Members recently released by the Public Service Commissioner applies to the Commission's Board Members.</p> <p>Note the Commission's Board Manual and associated policies will be reviewed and updated as needed for Commissioners' consideration following a review of the Manual against the Code of Conduct.</p> <p>Note that Board Members have already done considerable work in one of the areas of the Code being 'We identify and manage conflicts of interest'.</p> <p>Advise whether training on legislation outlined in the Code of Conduct covering privacy, official information and protected disclosures as well as the Crown Entities Act would be useful.</p>	<p>During the meeting</p> <p>During the meeting</p> <p>During the meeting</p> <p>During the meeting</p>

Discussion

2. The Public Service Commissioner recently released a Code of Conduct for Crown Entity Board Members. This applies to Commissioners as well as members of most other Crown Entity Boards. Many aspects of this Code are similar to the Standards of Integrity and Conduct which the Board adopted in September 2020. In particular notions of fairness, impartiality, honesty, political neutrality and acting in the spirit of service are common to both documents. However, there are also some differences. Some of these may be due to the passage of time in that the Standards of Integrity and Conduct has been around for a number of years, while the Code of Conduct for Crown Entity Board Members is new. For example while both documents refer to acting in the spirit of service, the newly released Code of Conduct refers to a desire to improve wellbeing and to be consistent with Te Tiriti o Waitangi. The other differences are due to the audience for the Code of Conduct being Boards governing Crown Entities rather than staff working in them, and include expectations that Crown Entity Board Members:
 - Be well informed about privacy, official information and protected disclosures legislation as well as the requirements of the Crown Entities Act.
 - Respect the principle of collective decision-making so that once the Board makes a decision, members support it.
 - Identify, disclose, manage and regularly review all interests.
3. On the last point the Commission has already done a considerable amount of work in this area with a new policy recently approved, disclosures made and decisions about interests largely taken.
4. We will now undertake a similar review of other policies as well as the Board Charter to ensure they are all consistent with the Code of Conduct for Crown Entity Board Members and advise Commissioners of areas where changes may be needed. We will also arrange for training in legislation referred to in the Code, being those covering privacy, official information and protected disclosures as well as the Crown Entity Act if Commissioners would find that useful.



STANDARDS OF INTEGRITY & CONDUCT

A code of conduct issued by the State Services Commissioner
under the State Sector Act 1988, section 57



WE MUST BE FAIR, IMPARTIAL, RESPONSIBLE & TRUSTWORTHY

The State Services is made up of many organisations with powers to carry out the work of New Zealand's democratically elected governments.

Whether we work in a department or in a Crown entity, we must act with a spirit of service to the community and meet the same high standards of integrity and conduct in everything we do.

We must comply with the standards of integrity and conduct set out in this code. As part of complying with this code, our organisations must maintain policies and procedures that are consistent with it.

For further information see
www.ssc.govt.nz/code



FAIR

We must:

- treat everyone fairly and with respect
- be professional and responsive
- work to make government services accessible and effective
- strive to make a difference to the well-being of New Zealand and all its people.

IMPARTIAL

We must:

- maintain the political neutrality required to enable us to work with current and future governments
- carry out the functions of our organisation, unaffected by our personal beliefs
- support our organisation to provide robust and unbiased advice
- respect the authority of the government of the day.

RESPONSIBLE

We must:

- act lawfully and objectively
- use our organisation's resources carefully and only for intended purposes
- treat information with care and use it only for proper purposes
- work to improve the performance and efficiency of our organisation.

TRUSTWORTHY

We must:

- be honest
- work to the best of our abilities
- ensure our actions are not affected by our personal interests or relationships
- never misuse our position for personal gain
- decline gifts or benefits that place us under any obligation or perceived influence
- avoid any activities, work or non-work, that may harm the reputation of our organisation or of the State Services.



WITHHELD IN FULL

Document 51 is withheld in full: 9(2)(h), out of scope

Document 52 is withheld in full: 9(2)(h)

RELEASED UNDER THE
OFFICIAL INFORMATION ACT

[UNCLASSIFIED]

[UNCLASSIFIED]

From: Jo Hendy [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)
Sent: Wednesday, 14 April 2021 5:23 pm
To: Rod Carr <Roderick.Carr@climatecommission.govt.nz>; [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz); [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)
Subject: RE: [UNCLASSIFIED] Interests: Revised Draft Letter to the Minister

[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz) – can we please get this out the door asap tonight? Thanks!

From: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Sent: Wednesday, 14 April 2021 4:02 pm
To: [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz); [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz); Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Cc: Jo Hendy <[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)>
Subject: Fwd: [UNCLASSIFIED] Interests: Revised Draft Letter to the Minister

Letter has one shaded area at para 13.2, not sure why. Otherwise good to go on letterhead, add my signature, send to minister, copy me and send to Commissioners for their information.

Rod

From: [s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)
Sent: Tuesday, April 13, 2021 4:05 PM
To: Rod Carr
Subject: [UNCLASSIFIED] Interests: Revised Draft Letter to the Minister

[UNCLASSIFIED]

Hi Rod,

Please find attached a revised draft letter to the Minister outlining your imminent divestment of NZUs and notifying him of the interests of other Commissioners where the formal approvals process for interests, as required by the Crown Entities Act, hadn't been followed. There are some things to draw your attention to. These are:

1. The language has changed to the first person as you noted in your feedback on the first draft of this letter.
2. [s 9\(2\)\(h\)@climatecommission.govt.nz](mailto:s 9(2)(h)@climatecommission.govt.nz)
3. [s 9\(2\)\(h\)@climatecommission.govt.nz](mailto:s 9(2)(h)@climatecommission.govt.nz)

[s 9\(2\)\(h\)@climatecommission.govt.nz](mailto:s 9(2)(h)@climatecommission.govt.nz)

s 9(2)(h)

Please let me know if you are okay for this letter to go to the Minister or whether you'd prefer the Board to see it first. Either way can you also please let me know whether you want any changes to be made to the letter either ahead of it either going to the Minister or to the Board?

Thank you! Kind regards

s 9(2)(a)



s 9(2)(a)

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[UNCLASSIFIED]

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WITHHELD IN FULL

Attachment 53a is withheld in full: 9(2)(h)

Note: Final version of this letter is released at Attachment 56a

RELEASED UNDER THE
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[UNCLASSIFIED]

[UNCLASSIFIED]

From: s 9(2)(a)
Sent: Tuesday, 20 April 2021 10:19 am
To: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Subject: RE: [UNCLASSIFIED] Interests - Permissions to Act

[UNCLASSIFIED]

Hi Rod,

No, so this is very helpful, thank you! We just need to work through Lisa's additional interests and another one (or at least an extension of an existing one) from Judy but I can send these to you separately.

Thanks again. Kind regards
s 9(2)(a)

[UNCLASSIFIED]

From: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Sent: Monday, 19 April 2021 8:54 pm
To: s 9(2)(a) <[s9\(2\)\(a\)@climatecommission.govt.nz](mailto:s9(2)(a)@climatecommission.govt.nz)>; Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Subject: Fwd: [UNCLASSIFIED] Interests - Permissions to Act

Did I ever confirm I was OK with this?
Rod

From: s 9(2)(a) <[s9\(2\)\(a\)@climatecommission.govt.nz](mailto:s9(2)(a)@climatecommission.govt.nz)>
Sent: Tuesday, April 13, 2021 5:11 PM
To: Rod Carr
Subject: [UNCLASSIFIED] Interests - Permissions to Act

[UNCLASSIFIED]

Hi Rod,

Please find attached a letter to the Board for you to consider, granting permissions to act for Commissioners in respect of their interests. Please let me know if you are happy with it or what changes you'd like made. The permissions are included in the Public Facing Interests Register which we can load into the Board papers as soon as you are happy with this letter.

Thanks

[UNCLASSIFIED]

RELEASED UNDER THE
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WITHHELD IN FULL

Attachment *54a* is withheld in full: 9(2)(h)

Note: The final version of this letter is released at Attachment *81a*

RELEASED UNDER THE
OFFICIAL INFORMATION ACT

[UNCLASSIFIED]

From: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Sent: Wednesday, 14 April 2021 4:02 pm
To: s 9(2)(a) @climatecommission.govt.nz; s 9(2)(a) @climatecommission.govt.nz; Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Cc: Jo Hendy s 9(2)(a) @climatecommission.govt.nz
Subject: Fwd: [UNCLASSIFIED] Interests: Revised Draft Letter to the Minister

Letter has one shaded area at para 13.2, not sure why. Otherwise good to go on letterhead, add my signature, send to minister, copy me and send to Commissioners for their information.

Rod

From: s 9(2)(a) @climatecommission.govt.nz
Sent: Tuesday, April 13, 2021 4:05 PM
To: Rod Carr
Subject: [UNCLASSIFIED] Interests: Revised Draft Letter to the Minister

[UNCLASSIFIED]

Hi Rod,

Please find attached a revised draft letter to the Minister outlining your imminent divestment of NZUs and notifying him of the interests of other Commissioners where the formal approvals process for interests, as required by the Crown Entities Act, hadn't been followed. There are some things to draw your attention to. These are:

1. The language has changed to the first person as you noted in your feedback on the first draft of this letter.
2. s 9(2)(h)

Please let me know if you are okay for this letter to go to the Minister or whether you'd prefer the Board to see it first. Either way can you also please let me know whether you want any changes to be made to the letter either ahead of it either going to the Minister or to the Board?

Thank you! Kind regards

s 9(2)(a)



s 9(2)(a)

s 9(2)(a)

W climatecommission.govt.nz

[UNCLASSIFIED]

RELEASED UNDER THE
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WITHHELD IN FULL

Attachment *55a* is withheld in full: 9(2)(h)

Note: The final version of this letter is released at Attachment *56a*

RELEASED UNDER THE
OFFICIAL INFORMATION ACT

Out of Scope

[IN-CONFIDENCE]

[IN-CONFIDENCE]

[IN-CONFIDENCE]

From: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Sent: Thursday, 15 April 2021 11:02 pm
To: Judy Lawrence S 9(2)(a) @climatecommission.govt.nz>; Jo Hendy
S 9(2)(a) @climatecommission.govt.nz>; S 9(2)(a) @climatecommission.govt.nz>
Subject: Re: [IN-CONFIDENCE] Climate Change Commission - Interests of Board Members

[IN-CONFIDENCE]

s 9(2)(g)(i)

From: Judy Lawrence S 9(2)(a) @climatecommission.govt.nz>
Sent: Thursday, April 15, 2021 8:57 AM
To: Jo Hendy
Cc: Rod Carr
Subject: RE: [IN-CONFIDENCE] Climate Change Commission - Interests of Board Members

[IN-CONFIDENCE]

s 9(2)(g)(i)

Judy

From: s 9(2)(a) <[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)>
Sent: Wednesday, 14 April 2021 6:26 pm
To: Climate Commissioners s 9(2)(a) <[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)>
Cc: Jo Hendy s 9(2)(a) <[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)>; s 9(2)(a) <[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)>
Subject: [IN-CONFIDENCE] Climate Change Commission - Interests of Board Members

[IN-CONFIDENCE]

Kia ora Commissioners,

For your information, please find attached letter to Minister Shaw regarding the Board's Disclosure of Interests.

Ngā mihi

s 9(2)(a)



s 9(2)(a)

[s 9\(2\)\(a\)@climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)

W [climatecommission.govt.nz](mailto:s 9(2)(a)@climatecommission.govt.nz)

From: Rod Carr
Sent: Wednesday, 14 April 2021 6:24 pm
To: 'james.shaw@parliament.govt.nz' <james.shaw@parliament.govt.nz>
Cc: Rod Carr <Roderick.Carr@climatecommission.govt.nz>
Subject: Climate Change Commission - Interests of Board Members

Kia ora James,

Please find attached the Climate Change Commission's letter that provides a further update to you of additional interests held by myself and Commissioners that were not included in the appendix of the Commission's 23 December 2020 letter.

Please don't hesitate to contact me if you wish to discuss further.

Ngā mihi

Rod



Dr Roderick Carr | **Chair**
Climate Change Commission Board
s 9(2)(a)
E roderick.carr@climatecommission.govt.nz
W [climatecommission.govt.nz](mailto:roderick.carr@climatecommission.govt.nz)

14 April 2021

Hon James Shaw
Minister for Climate Change
Parliament Buildings
Wellington

Tēnā koe Minister Shaw

CLIMATE CHANGE COMMISSION - INTERESTS OF BOARD MEMBERS

1. We refer to our letter dated 23 December 2020 (the **23 December letter**) disclosing some areas of non-compliance with the provisions of the Crown Entities Act 2004 (the **Crown Entities Act**) with respect to managing the interests of the Climate Change Commission's Board members (the **Commissioners**).
2. The 23 December letter sought:
 - 2.1 your permission, under s 68 of the Crown Entities Act, for myself as Chair as well as the Deputy Chair and Commissioner Shadbolt to continue to act despite their interest in New Zealand Units; and
 - 2.2 to notify you of other interests held by Commissioners (listed in an appendix to the letter) where s 66 of the Crown Entities Act had not been complied with, as required by s 67(1) of the Act.
3. The purpose of this letter is to further update you with respect to the interests held by me, as well to notify you of further interests held by other Commissioners.

Interests of the Chair

4. The Board first wishes to advise you of a change of circumstances with respect to the interests held by me.
5. In the 23 December letter we advised you that I hold New Zealand Units via a farm forestry venture partnership called the Waingawa Land Company. I am a partner in the Waingawa Land Company, and a director and shareholder in the Waingawa Forest Corporation Ltd. Waingawa Forest Corporation Ltd owns approximately 15 ha of mature Pinus Radiata plantation on land leased from Waingawa Land Company. At present the Waingawa Land Company holds ^{s(2)(a)&(b)} NZUs in respect of the plantation.

6. The Directors of the Waingawa Land Company have now decided to divest the Company's NZUs and are in the process of doing so. Accordingly, once this occurs, I will no longer have an interest in any NZUs.
7. The Board considers that once the NZUs are divested, my involvement with the Waingawa Land Company and the Waingawa Forest Corporation will no longer constitute an interest in matters relating to the Commission.
8. The Board therefore seeks, in accordance with s 68(5) of the Act, revocation of the condition imposed by you on 23 February 2021 that I be excluded from the oversight, preparation and authorisation of advice on pricing related to domestic units created for New Zealand's Emissions Trading Scheme. (The condition will of course remain in place for the Deputy Chair and Commissioner Shadbolt who continue to hold an interest in NZUs).
9. The Board also notes that if I were to obtain (directly or indirectly) any NZUs in the future, this would again be disclosed to you.

Additional interests of other Commissioners

10. This letter is also intended to advise you, in respect of paragraph 2.2 above, of additional interests of Commissioners that were not included in the appendix of the 23 December letter (**Additional Interests**) and non-compliance with ss 63 and 66 of the Crown Entities Act in relation to these Additional Interests.
11. The Additional Interests are set out in an **Appendix** to this letter.
12. As per paragraph 27 of the 23 December letter, no issue of compliance with s 63 arises with respect to the majority of these Additional Interests. They were disclosed in the Commission's internal Interests Register (the **Interests Register**) and to myself and to the Deputy Chair (as required). There was non-compliance with s 66 however as the proper process for permissions was not followed.
13. There are however some Additional Interests that were not properly disclosed in accordance with s 63 of the Act. These are as follows:
 - 13.1 The interests of the Deputy Chair, Lisa Tumahai, set out in the Appendix to this letter (though we note that these interests were disclosed to the Ministry for the Environment at the time of her appointment as a Commissioner).
 - 13.2 Professor Nicola Shadbolt's professorship at Massey University (though again, this interest was clearly disclosed to the Ministry at the time of her appointment as a Commissioner and while this interest was not formally recorded in the Interests Register, and the Chair was aware of Professor Shadbolt's role).
 - 13.3 Professor James Renwick's membership of the Green Party of Aotearoa New Zealand.
14. It is important to emphasise that this non-compliance with the requirements of ss 63 and 66 will not affect the validity of the advice currently being prepared by the Commission (s 67(2), Crown Entities Act).
15. As per paragraph 29 of the 23 December letter, myself and Deputy Chair continue to work through the necessary processes and permissions with respect to each of the interests held by the Commissioners identified in both appendixes as a matter of priority.

16. Again the Board unreservedly apologises for this inadvertent non-compliance with the Crown Entities Act. The Board is committed to managing all issues relating to interests in accordance with the requirements of the Act and continues to develop policies and processes to aid its compliance.

Recommendations

17. The recommendations are that you:

- (a) **note** that, with respect to my interests, the Waingawa Land Company will divest its NZUs and I will no longer have an interest in NZUs.
- (b) **agree** to revoke the condition imposed by you on 23 February 2021 that I be excluded from the oversight, preparation and authorisation of advice on pricing related to domestic units created for New Zealand's Emissions Trading Scheme. **Yes/No**
- (c) **note** that, with respect to the Additional Interests in the advice currently being prepared by the Commission, the Commission has notified you, in accordance with s 67(1) of the Crown Entities Act, of its non-compliance with ss 63 and 66 of the Act.

Ngā mihi



Dr Roderick Carr
Chair - Climate Change Commission

Hon James Shaw
Minister for Climate Change

/ / 2021

APPENDIX – ADDITIONAL INTERESTS

#	Nature of interest	Monetary value (if quantifiable)	Extent of interest (if not quantifiable by reference to monetary value)	Date of disclosure
Dr HARRY CLARK				
Directorships and other roles				
1.	Intergovernmental Panel on Climate Change Sixth Assessment Report, Lead Author on Chapter 7 (Agriculture, Forestry and Other Land Use (AFOLU), January 2019 – 2022.	\$10,000 per annum until 2022. In addition, flights and expenses to two Lead Author meetings per year.	The funding comes from the Ministry for the Environment. There is no direct personal benefit to Dr Clark as the money received from the Ministry for the Environment goes to his employer, AgResearch.	11/12/2019
2.	Ministry for Primary Industries (MPI) project assessment panels, 2005 – present.	Standard daily Government rate (approx. \$650/day) if a Government request, negotiable for non-government requests.	Dr Clark sits on project assessment panels for MPI (for example, the Sustainable Land Management and Climate Change Panel and the Inventory Advisory Panel) and, on request, reviews research reports prepared for the Ministry for Primary Industries and others by national and international organisations. Total number of days per annum is approximately five. There is no direct personal benefit to Dr Clark as the money received goes to his employer AgResearch.	11/12/2019

3.	Co-lead on several Ministry for Primary Industries commissioned research projects focussed on education and outreach in agricultural greenhouse gas mitigation, January 2020 – present.	Total contract value in 2020-2021 year is approximately \$500,000.	<p>This work includes training, production of videos and podcasts, website construction, population and maintenance, production of written material etc.</p> <p>The work supports and encourages early action on agricultural greenhouse gas mitigation by the sector and hence directly supports the work of the Climate Change Commission.</p> <p>No direct personal benefit as money received from the Ministry for Primary Industries goes to Dr Clark's employer AgResearch.</p>	11/12/2019
4.	Contracted by the Ministry for Primary Industries through its Global Research Alliance Fund to provide advice on agricultural greenhouse gas accounting and mitigation to developing country science and policy staff, July 2010 – present.	Total contract value in 2020 – 2021 is approximately \$500,000.	<p>This work is designed to help developing countries improve their estimation of agricultural greenhouse gas emissions and to take steps to mitigate emissions.</p> <p>There is no direct personal benefit as money received from the Ministry for Primary Industries goes to Dr Clark's employer AgResearch.</p>	11/12/2019

LISA TUMAHAI				
Directorships and other roles				
1.	Co-Chair Pou Take Ahuarangi - Iwi Leaders Group, May 2020 – present.		<p>Representative for Ngāi Tahu iwi.</p> <p>Pou Take Ahuarangi is a subcommittee of the National Iwi Chairs Forum.</p> <p>The potential for overlap with the Commission's work arises because the group takes an interest in any policy advice, recommendations or development that affects Maori.</p>	
2.	Te Kura Taka Pini Limited, December 2018 – present.		<p>Director and Co-Chair of the board.</p> <p>The organisation is tasked with representing and giving effect to the rights and interests of Ngāi Tahu in Freshwater.</p>	21/12/2020
3.	University of Canterbury - Ngāi Tahu Research Centre, 2010 – present.		Board Member.	21/12/2020
Investments				
4.	Shareholder in the Māwhera Incorporation, approximately 2000 – present.	Value of shares approximately s 9(2)(a)	Farming, land and property assets. Ms Tumahai is a minor shareholder with no other involvement with the incorporation.	11/12/2019

PROFESSOR JAMES RENWICK				
Directorships and other roles				
1.	Current Member of the Board of Ekos (www.ekos.org.nz), October 2019 – present.		<p>Ekos provides a range of greenhouse gas mitigation services, from measuring emissions to helping clients reduce emissions, to providing forestry offsets for emissions. The latter is achieved via direct links with foresters in New Zealand and in Pacific Island nations. Ekos also has a charitable arm aimed at educating people on climate change issues.</p> <p>It is possible that Ekos could benefit financially from the Commission's advice if, for example, that advice resulted in legislative changes that advantaged offsetting services or if there was a change to funding allocation that Ekos could access.</p>	11/12/2019
2.	Science advisor to CH4 Global (https://www.ch4global.com/), May 2019 – present.		<p>Professor Renwick is a science advisor to CH4. He advises in particular on climate change mitigation and the potential of methane-reducing seaweed-based feed for ruminant animals.</p> <p>CH4 is developing seaweed-based feeds for ruminant animals, aimed at reducing biogenic methane emissions. It is still very much in the development phase so does not yet</p>	7/5/2020

			<p>provide any services. It is focussed solely on research and development.</p> <p>It is possible that CH₄ could benefit financially from the Commission's advice if it results in: (a) government policy changes relating to the allocation of funding to methane inhibitor research; or (b) roll-out to the agricultural sector.</p>	
3.	Intergovernmental Panel on Climate Change Coordinating Lead Author, 6th Assessment Report, Working Group 1, Chapter 8 (Water cycle changes), March 2018 – July 2021.	s 9(2)(a) per annum until 2021. In addition, flights and expenses covered for four overseas meetings until 2021.	Funding comes from Ministry for the Environment as partial recompense for Coordinating Lead Author role. The Ministry for the Environment is administrator for the Climate Change	8/1/2020
4.	Member of the Ministry for the Environment Senior Science and Mātauranga Team on the "Our Atmosphere and Climate (OAC) 2020" report, to 31 October 2020, March 2020 – October 2020.	s 9(2)(a) per hour for meetings and reviewing drafts.	Advising on content of the OAC2020 report. Role ended October 2020.	8/1/2020
5.	Lead Principal Investigator on Project 4 of the Ministry for Business, Innovation and Employment-funded Antarctic Science Platform (Sea Ice and Carbon Cycle Feedbacks), April 2019 – June 2025.	No direct economic benefit to Professor Renwick as Principal Investigator, but Professor Renwick has responsibility for s 9(2)(b)(ii) per year in research funding until 2025/26.	Overall intellectual leadership for the project, 0.15FTE of Professor Renwick's Victoria University of Wellington time is paid out of the platform grant but managed by Victoria University of Wellington. No funds go directly to the Principal Investigator.	Updated 19/1/2021

6.	Employed as Professor of Physical Geography and Head of School at Victoria University of Wellington, 2012 – present.	Salary.	<p>Involved in teaching and research on the climate system, and in the running and strategic direction of the School of Geography, Environment and Earth Sciences, Victoria University of Wellington.</p> <p>The quantum of funding received by Victoria University of Wellington could be influenced if the Climate Change Commission makes recommendations about increased investment in R&D.</p>	8/1/2020
7.	Green Party of Aotearoa New Zealand, Member, May 2005 – present.	Annual membership fee.		10/02/2021

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Dr RODERICK CARR				
Directorships and other roles				
1.	Directorship of ASB Bank Ltd, 2018 – present.	Fees of S 9(2)(a)	The bank lends extensively to a wide of businesses that may be impacted by Climate Change Policy.	11/12/2019
2.	Limited Partner in TPC Fund II LP, February 2019 – present.	9(2)(a)&(b)(ii)	Venture capital investments which may relate to climate change.	11/12/2019
4.	Contact Energy Ltd shareholder, May 1999 – present.	Dr Carr held shares of approximately S 9(2)(a) in value.	Ownership of ordinary shares - climate policy may impact the value of energy producers and distributors. These shares have now been divested.	11/12/2019
5.	Auckland International Airport Ltd shareholder, July 1998 – present.	Dr Carr held ordinary shares of approximately S 9(2)(a) in value.	Ownership of ordinary shares - Climate policy may impact the value of businesses dependent on international air travel. These shares have now been divested.	11/12/2019
6.	Wellington Drive Technologies Ltd, approximately early 1990s – present.	S 9(2)(a)	Publicly listed electric engine company. These shares have now been divested.	11/12/2019
Other				
7.	Waihi Beach bach - one row back from beach frontage, 2005 – present.		Unable to quantify impact of any changes in climate policy not already accounted for in zoning (floor prone). Climate policy may adversely impact the value of beach front properties.	11/12/2019

Dr JUDITH LAWRENCE				
Directorships and other roles				
1.	Intergovernmental Panel on Climate Change Sixth Assessment Report, Coordinating Lead Author on Chapter 11 (Australasia), January 2019 – mid 2022.	s 9(2)(a) per annum until 2021. In addition, flights and expenses for five overseas meetings until 2022.	Funding comes from Ministry for the Environment as partial recompense for the role. The Ministry of the Environment is administrator for the Climate Change Commission.	11/12/2019
2.	Governance Domain Lead for the New Zealand National Risk Assessment via a contract between Victoria University of Wellington and AECOM who hold the Head Contract with the Ministry for the Environment, October 2019 – May 2020.	s 9(2)(a) until April 2020.	The New Zealand National Risk Assessment will inform the National Adaptation Plan which the Climate Change Commission will review; the Climate Change Commission will undertake the second New Zealand National Risk Assessment.	11/12/2019 – contract finished – April 2020
3.	Member of the Ministry for the Environment Resource Management Act Review Reference Group on the Natural Environment (includes Climate Change), December 2019 – June 2020.	Meeting honorarium	Advice on climate change in the review of the Resource Management Act.	11/12/2019 Completed
4.	Fixed term contract with NIWA for the Resilience Science Challenge “Enabling Proactive Coastal Adaptation”, October 2019 – September 2023.	s 9(2)(a) per annum until 2022.	The research will inform the National Adaptation Plan being prepared by the Ministry for the Environment and which the Climate Change Commission will review it.	11/12/2019

5.	Contract with the New Zealand Treasury advising and peer reviewing background papers and Chapter on climate change for the Long-Term Fiscal Statement, September 2019 – March 2020.	s 9(2)(a) until March 2020.	The Treasury is one of many government departments involved in the preparation of the of the national Adaptation Plan that the Climate Change Commission will review.	11/12/2019 – contract finished March 2020
6.	Fixed term research for Endeavour SeaRise Programme, October 2018 to October 2021.	Part time employment contract by Victoria University of Wellington School of Geography, Environment and Earth Sciences. Contract value s 9(2)(a) per annum until October 2021.	Research on the implementation of sea level rise projections.	11/12/2019 Updated 19 Jan 2021
7.	Chair of the Peer Review Panel for the Multi-Hazards and Liquefaction Study for Christchurch City Council which includes flooding and sea level rise affected by climate change, October 2018 – October 2022.	The contract value is s 9(2)(a) and will run until October 2022.	Christchurch City Council is one of many councils affected by the outcomes of the NAP and second RA which the Climate Change Commission will review and undertake respectively.	11/12/2019 Updated 19 Jan 2021

PROFESSOR NICOLA SHADBOLT				
Directorships and other roles				
1.	Professor of Farm and AgriBusiness Management, Massey University, 1996 – present.	Salary.	Involved in teaching and researching on farm and agribusiness management including risk, strategy, business analysis and cooperatives. The quantum of funding received by Massey University could be influenced if the Climate Change Commission makes recommendations about increased investment in R&D.	29/01/2021
2.	Meridian Energy Ltd Two family trusts jointly hold s 9(2)(a) Meridian Energy Ltd shares, 2013 - present. Professor Shadbolt is a trustee and beneficiary of the trusts.	s 9(2)(a) Meridian Energy Ltd shares.	Climate policy may impact the value of energy producers and distributors.	11/12/2019